

STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kishio Yokouchi, et al.

Serial No.:

10/771,562

Filed:

February 4, 2004

For:

ONE-BY-N OPTICAL SWITCH

Art Group Unit: Unknown

Examiner:

Unknown

Attorney Docket: 02EK-105595

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, being:

(X) deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450.

() transmitted by facsimile to the Patent and Trademark Office.

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98

Commissioner for Patents P.O. Box 1450 Alexandria, CA 22313-1450

Sir:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56.

The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before receipt of the first Office Action for the above-identified application, therefore, pursuant to 37 C.F.R. §1.97, no fee or certification is required.

This Information Disclosure Statement is not to be construed as a representation or admission that any of the listed citations, by itself or in combination with other information, is material to patentability, is, in fact, prior art, or establishes or a prima facie case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

March 9, 2004

SHEPPARD MULLIN RICHTER & HAMPTON LLP Four Embarcadero Center, 17th Floor San Francisco, CA 94111-4106 Telephone (415) 434-9100 Facsimile (415) 434-3947 Respectfully submitted,

David Schnapf

Registration No. 31,366

FORM PTO-1449 (Modified)

MAR 1 2 2004

LIST OF PATENTS AND PUBLICANTIONS FOR APPLICANT(S)' INFORMATION STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

02EK-105595

10/771,562

APPLICANT: Darren Kim, et al.

FILING DATE:

GROUP ART UNIT:

February 4, 2004

Unknown

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1	5,802,223	09/01/1998	Nashimoto			
	A2	6,611,635	08/26/2003	Yoshimura et al.			
	A3						
	A4						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1 7	09-005797	01/10/1997	Japan				
	B2 /	2000-180904	06/30/2000	Japan				
	B3 /	2000-180905	06/30/2000	Japan				
	B4 /	2000-241836	09/08/2000	Japan				
	B5 /	2002-318398	10/31/2002	Japan				
	B6	EP 1 237 040 A2	09/04/2002	Europe				

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.)

C1	Technology Thesarus Corp - OSW Optical Switch Series, "OSW2600 2XN Optical Switch," (2 pgs.), www.ttcone.com .
C2	Technology Thesarus Corp - OSW Optical Switch Series, "OSW2700 1XN Optical Switch (2 pgs.), www.ttcone.com.
С3	LIGHTech Fiberoptics, Inc., "1Xn Optical Switch – LT500," (2 pgs.) San Leandro, CA, www.lightech.net.
 C4	NTT Electronics Corporation, "Photonic Network Innovation - 1XN Optical Switch," (2 pgs.), August 2001, Ibaraki, Japan.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).